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# LEGISLATIVE ASSEMBLY OF THE NORTHWEST TERRITORIES

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# ELEVATING DEVICES SAFETY ACT

# Statement of Purpose

The purpose of this bill is to provide for the safe operation of elevating devices such as elevators, escalators and amusement rides within the Northwest Territories; to provide for the appointment of inspectors and the designation of a Chief Inspector; to provide for inspection of elevating devices and the issuance of certificates of inspection; to provide for registration of the design of elevating devices and major alterations to them; to establish procedures for the monitoring of maintenance on elevating devices; to provide investigatory powers to inspectors and to establish procedures to protect the public from unsafe elevating devices including orders to close unsafe elevating devices; to provide for reporting of accidents; to establish procedures for appeal from a decision of an inspector; to establish offences and punishments and to provide for a regulation-making power.

#### IMPORTANT

This Bill is tabled for public review. This proposed Act does not represent the final policy of the Governent of the Northwest Territories and is subject to change after public review and comment. Furthermore, changes of a technical nature can be expected to prepare the Bill for introduction in the Legislative Assembly.

# ELEVATING DEVICES SAFETY ACT

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	The Commissioner of the Northwest Territories, by and with the advice and consent of the Legislative Assembly, enacts as follows:	5
Definitions	1. In this Act,	10
"amusement ride"	"amusement ride" means a device or combination of devices that moves people mechanically and is designed or intended to entertain by the motion of the device;	15
"certificate"	"certificate" means a certificate of inspection issued under section 6;	10
"contractor"	"contractor" means an individual who is engaged by an owner to construct, install, repair, maintain or test an elevating device;	20
"elevating device"	"elevating device" means an elevator, fixed conveyance, amusement ride or a device prescribed to be an elevating device;	25
"fixed conveyance"	"fixed conveyance" means a fixed system or device for the conveyance of persons or goods;	
"inspect"	"inspect" includes test;	30
"maintenance"	"maintenance" means regularly scheduled or other action taken to ensure that an elevating device is and remains in a safe operating condition;	35
"operate"	"operate" includes causing or permitting to operate;	20
"operator"	"operator" means a person who, as the whole or a part of his or her duties, has direct control of any movement of the car or carrying units of an elevating device;	40
"owner"	"owner" means the person having charge of an elevating device as owner, tenant, licensee, lessee, agent or otherwise, other than an operator;	45
"private dwelling"	"private dwelling" means a separate dwelling or a separate apartment in a multiple dwelling which is occupied only by the members of a single family.	50

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# Application

Application

- 2. This Act applies to all elevating devices within 5 the Territories other than
  - (a) elevating devices regulated under the <u>Mining</u>
    <u>Safety Act</u>;
  - (b) piling or stacking machines or vehicles used within one storey;
  - (c) cranes and hoists for lifting and lowering goods or materials and which are provided with unguided hooks or slings to which the goods or materials are attached;
  - (d) appliances which feed materials into or 15 position materials at machine tools, printing presses, furnaces or other processing plants;
  - (e) freight conveyors of belt, bucket, scoop or roller type, and any similar type of material handling device;
  - (f) lifting devices that are part of a fully automatic conveyor or material handling system;
  - (g) freight ramps that have a means for adjusting the slope of the ramp; 25
  - (h) vehicle lubrication hoists or other similar mechanisms;
  - (i) elevating devices that are installed in or adjacent to a barn and used exclusively for agricultural purposes;
  - (j) lifting devices that are mechanically loaded and unloaded and controlled by trained personnel only, and which are inaccessible to the general public and fenced in or guarded to prevent persons accidentally 35 entering the hoistway;
  - (k) lift bridges;
  - (1) railroad cars, lifts or dumpers;
  - (m) winches and jacks attached to or carried as part of the normal equipment or accessories 40 of vehicles;
  - (n) swing stages and window washing equipment; or
  - (o) any class or subclass of elevating devices exempted by the regulations.

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3. Sections 19 to 22 do not apply to elevating devices in private dwellings.

4. This Act binds the Government of the Northwest 50 t Territories.

Private dwellings

Government bound by Act

#### Certificates or Licences

5. An owner shall apply in accordance with the Application for regulations for a certificate of inspection and pay certificate 5 the prescribed fee. 6.(1) The Chief Inspector may issue a certificate Issue of for an elevating device to the owner in accordance certificates with the regulations. 10 (2) A certificate shall not be issued under Compliance subsection (1) unless the elevating device has been inspected by an inspector and found by the inspector to comply with the prescribed standards. 15 (2), (3) Notwithstanding subsection the Chief Exception Inspector may issue a certificate for an elevating device that does not meet the prescribed standards where, in the opinion of the Chief Inspector, the 20 elevating device is safe. (4) The Chief Inspector may, at any time, add to a Safety certificate conditions respecting safety that he or conditions she considers necessary and the Chief Inspector shall 25 notify the owner of the conditions added in the manner set out in subsection 49(1). Duration of 7.(1) A certificate remains in force for a prescribed length of time. certificate 30 (2) The length of time a certificate remains in force Duration may may vary depending on the class of elevating device. vary 8. No person shall operate an elevating device Prohibition 35 unless a certificate for it is in force and it is operated in compliance with the conditions of the certificate. 9. An owner may apply to transfer a certificate in Transfer of 40 accordance with the regulations. certificate Application for 10.(1) A contractor shall apply in accordance with the regulations for a licence as a contractor and pay licence the prescribed fee. 45 (2) The Chief Inspector may issue a licence to a Issue of contractor in accordance with the regulations. licences Prohibition 11. No person shall construct, install, alter, 50 repair, maintain or test any elevating device unless

he or she is licensed in accordance with the regulations as a contractor.

12. A contractor shall carry out his or her duties Duties of as set out in the regulations. contractor

"major

13.(1) In this section, "major alteration" means a major alteration as set out in section 10 of Canadian alteration" Standards Association Standard CAN3-B44-M85, Safety Code for Elevators as amended.

contractor submit Submission of (2) A shall drawings and drawings and specifications for the construction, installation or specifications major alteration of an elevating device, with particulars of the work or alteration, to an 15 inspector.

(3) An inspector shall examine the drawings and Examination of specifications in order to determine if they meet the drawings and specifications prescribed standards.

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(4) An inspector who is of the opinion that the Approval of drawings and specifications drawings and meet the prescribed specifications standards shall the approve drawings and specifications. 25

(5) Subsections (2) to (4) do not apply to amusement Exception rides.

(6) The submission, examination or approval of Drawings and 30 drawings and specifications for amusement rides shall specifications for amusement be carried out in accordance with the regulations. rides

14. A licence issued under subsection 10(2) is not Licence not 35 transferable. transferable

#### Suspension or Cancellation

15.(1) An application to renew a certificate or a Application licence may be made while the certificate or licence to renew certificate is in force or within a prescribed time after expiry.

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Continuation of certificate

(2) Where an application is made to renew a certificate or licence and the prescribed fee is paid, the certificate or licence is deemed to continue (a) until the renewal is granted; or

(b) where the applicant is served with notice that the Chief Inspector intends to refuse to renew the certificate or licence, until the time for applying for a hearing by the Chief Inspector has expired, or until the Chief Inspector has made his or her decision.

Refusal, suspension or cancellation

Notice of

intention

Content of

notice

16.(1) The Chief Inspector may refuse to renew or may suspend or cancel a certificate or a licence, where

- (a) the Chief Inspector considers that the 15 training, experience, skill or level of competence of the applicant or holder of the certificate or licence is such that the certificate or licence should not be issued or renewed or continued; or 20
- (b) the Chief Inspector is satisfied that the holder of the certificate or licence has contravened
  - (i) this Act or the regulations, or
  - (ii) a condition of the certificate or 25 licence.

(2) Where the Chief Inspector intends to refuse to renew a certificate or licence, or to suspend or cancel a certificate or licence under subsection (1), 30 the Chief Inspector shall give notice in writing of his or her intention to the holder of the certificate or licence.

# (3) A notice under subsection (2) shall

- (a) state the reasons for the refusal to renew or for the suspension or cancellation of the certificate or licence; and
- (b) inform the holder of the certificate or licence that he or she is entitled to a 40 hearing by the Chief Inspector if he or she applies to the Chief Inspector within 30 days after service of the notice.

Opportunity to comply

(4) Notice of a hearing under this section shall 45 afford the holder of the certificate or licence a reasonable opportunity to show or achieve compliance with the requirements for retention of the certificate or licence.

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(5) Where a holder of a certificate or licence does Power of Chief not apply to the Chief Inspector for a hearing, the Inspector Chief Inspector may refuse to renew or may suspend or cancel the licence or certificate, as the case may be.

17.(1) Where the holder of a certificate or licence Hearing applies to the Chief Inspector for a hearing, the Chief Inspector shall in writing appoint a time and place for the hearing and shall hold the hearing.

(2) Before the hearing the holder of a certificate Opportunity to or licence who is a party to proceedings under this examine section shall, on request, be afforded an opportunity evidence to examine any report which will be relied upon at the hearing or any written or documentary evidence which 15 will be produced at the hearing.

- (3) After a hearing the Chief Inspector may refuse Decision to renew, or may suspend or cancel the certificate or licence and the Chief Inspector shall give reasons in 20 writing for his or her decision.
- Appeal 18. A person affected by a decision made under subsection 17(3) may appeal to the Supreme Court in accordance with section 36.

#### Duties of Owners and Others

19. An owner shall ensure that the elevating device Maintenance 30 is not used or operated unless it is maintained by a contractor who is licensed under this Act.

20.(1) An owner shall ensure that a maintenance log Duty to keep book is kept in accordance with the regulations for maintenance 35 each of the elevators he or she owns. log book

(2) The maintenance log book shall be kept at the Location of location of the elevating device for the prescribed log book period. 40

The maintenance log book shall be readily Availability' (3) available to an inspector. of log book

21.(1) An owner and an operator shall keep a record Record of 45 in the maintenance log book of each time maintenance maintenance is performed on the elevating device.

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(2) The record referred to in subsection (1) shall Contents of include record (a) a concise summary of the work performed; (b) the date the work was performed; (c) the name of the person who performed the 5 work; and (d) any other information required by this Act or the regulations. (3) No person shall operate an elevating device 10 No operation unless the maintenance log book for it is kept up-towithout log date. 22.(1) An owner and an operator shall keep a record Record of in the maintenance log book of any malfunction of the 15 malfunction elevating device. (2) Where there are recurring malfunctions of an Recurring elevating device, the owner or operator shall report malfunctions the malfunction in accordance with this Act or the 20 regulations. 23.(1) No person shall operate an elevating device Unsafe if he or she has reason to believe that it is in an condition unsafe condition. 25 (2) No person shall operate an elevating device in Unsafe an unsafe manner. operation (3) No person shall operate an elevating device with 30 Excessive load a load in excess of the maximum capacity stated in its certificate. 24. No person shall use, locate, construct, install, Compliance remove, alter, repair, maintain, service, test, 35 inspect or operate an elevating device or a part of an elevating device except in accordance with this Act or the regulations. 25.(1) Every person shall conduct himself or herself 40 Conduct in or on an elevating device in accordance with this Act and the regulations. (2) No person shall conduct himself or herself in or Prohibition on an elevating device or perform any work on an 45 elevating device in a manner that (a) impairs the safe operation of the elevating device; or (b) endangers himself or herself or another 50 person. - 7 -

26.(1) No person shall Damage (a) damage an elevating device; or (b) remove, displace or interfere with any part of an elevating device in a manner that impairs its safe operation. 5 (2) Nothing in subsection (1) prevents Exception (a) a person making an inspection under this Act or the regulations from carrying out his or 10 her duties under this Act; or (b) a contractor from making a test, maintaining or repairing the elevating device. 27. A person who believes that an elevating device Reporting of is not operating properly shall report the problem to 15 defects the Chief Inspector. Inspections and Investigations 20 28.(1) Where a person is of the opinion that an Request for elevating device is not operating or is not being inspection operated safely, that person may make a request to the Chief Inspector for its inspection and he or she shall state his or her reasons for requesting an inspection. 25 under (2) Following a request for inspection Idem subsection (1), an inspector shall inspect the elevating device as soon as is practicable. 30 29. A person who, in the opinion of the Chief Frivolous or Inspector, makes a frivolous or vexatious request for vexatious an inspection of an elevating device shall bear the request cost of the inspection. 35 30.(1) The owner or operator of the elevating device Reporting of shall close the elevating device, prohibit its use accidents and, within 24 hours after the occurrence, give notice in writing of the facts to the Chief Inspector in accordance with the regulations where 40 (a) an elevating device engages its emergency supporting devices; (b) the supporting mechanisms, safety devices or brakes of an elevating device fail to function; 45 (c) an elevating device or equipment used in connection with it falls; or (d) an accident occurs involving an elevating device or equipment used in connection with 50 it, causing injury to a person.

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(2) The owner or operator of an elevating device to No repairs which subsection (1) applies shall not authorize or effect any repair to the elevating device until authorized authorized to do so by an inspector.

31.(1) Where an accident occurs involving an Fatal accidents elevating device or equipment used in connection with it and a person is killed, the owner or operator of the elevating device shall

until

Order of

inspector

- (a) immediately inform the Chief Inspector of the 10 accident; and
- (b) close the elevating device and prohibit its use.

(2) Where a fatal accident has occurred, no person No removal of 15 shall, except for the purpose of assisting a person wreckage trapped or injured, interfere with, disturb or remove any wreckage or article in or near the elevating device. 20

32. An inspector shall investigate and make a report Investigations to the Chief Inspector on any incident connected with an elevating device that has resulted in personal injury or loss of life.

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33.(1) Where inspector considers an that the construction, installation, alteration, repair, maintenance or operation of an elevating device is being carried out in an unsafe manner or in contravention of this Act or the regulations, or that 30 the use or continued use of an elevating device would endanger property or the safety of any person, the inspector may

- (a) order the owner or operator of the elevating device to comply with the Act without delay 35 or within a time specified in the order;
- (b) order the owner or operator of the elevating device to cease the construction, installation, alteration, repair, maintenance or operation; and
- (c) affix a seal to the elevating device, prohibiting its use.

Duty to comply (2) The owner or operator to whom the order is directed shall comply with the order of the inspector. 45

(3) An order made under paragraph (1)(b) may be given Oral order orally, but it ceases to have effect 48 hours after it is given unless it is confirmed in writing.

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(4) Nothing in subsection (3) prevents the giving of Written an order in writing after the expiry of the period of order 48 hours.

sealed

device

(5) An order given in writing under this section 5 Order to subsists until an inspector cancels it. subsist

34.(1) No person, other than an inspector, shall use No use of or operate an elevating device that has been sealed or remove a seal affixed to it. 10 elevating

(2) Notwithstanding subsection (1), a contractor may Repairs operate an elevating device that has been sealed to repair it, where the contractor notifies the Chief 15 Inspector of his or her intention to repair the elevating device.

#### Appeal

- Notice of appeal 35.(1) A person affected by an order of an inspector may appeal to the Chief Inspector by forwarding, by registered mail, to the Chief Inspector notice of appeal within 30 days after the order was issued, or 25 within such further time as the Chief Inspector may allow.
- (2) The Chief Inspector shall hold a hearing on the Hearing 30 appeal.

(3) The Chief Inspector may confirm, vary or set Decision aside the order of an inspector.

(4) Pending disposition of an appeal under this 35 Order continued section, the order of the inspector continues to apply.

36.(1) A person affected may appeal a decision of the Appeal to Court Chief Inspector to the Supreme Court.

(2) A person who appeals a decision of the Chief Limitation Inspector shall file with the Supreme Court a notice of appeal within 30 days from the date on which the decision was made.

(3) The Supreme Court may extend the time for filing Extension of the notice of appeal, whether before or after the time expiration of the 30 days.

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(4) An appeal to the Supreme Court shall be made in Procedure the same manner as an appeal from a decision of the Territorial Court in a civil matter, with such Court considers modifications as the Supreme necessary.

(5) Pending disposition of an appeal under this Decision to be section, the decision of the Chief Inspector continues complied with to apply.

- 37.(1) After hearing an appeal, the Supreme Court may Powers of the confirm, vary or set aside the decision of the Chief Court Inspector.
- (2) The decision of the Supreme Court on appeal is Decision final final and binding on all parties.

#### Administration

- 38.(1) The Minister may appoint inspectors to enforce Inspectors this Act and the regulations.
- (2) The Minister may designate an inspector as the Chief Inspector Chief Inspector for the purposes of the general administration of this Act and the regulations, 25 including the supervision and direction of inspectors.
- (3) No inspector shall have any direct or indirect Conflict of interest in the manufacture, sale, installation or 30 interest maintenance of elevating devices.
- 39. The Minister may appoint a qualified person as Special a special inspector to inspect an elevating device inspector and that person has the powers specified in their 35 appointment.

Identification 40.(1) The Minister shall issue an identification card, bearing the signature of the Minister or a card facsimile of it, to every inspector, including a 40 special inspector.

Production of (2) An inspector, in the exercise of a power or the identification performance of a duty set out in this Act or the regulations, shall produce his or her identification 45 card card upon request.

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Duties of inspector

Powers of

inspector

41. An inspector shall exercise the powers and perform the duties of an inspector set out in this Act and the regulations.

42.(1) An inspector may, for the purposes of performing his or her duties under this Act and the regulations,

- (a) inspect an elevating device;
- (b) by notice in writing, order that any drawing, specification, licence, record or report 10 relating to the elevating device be produced and the inspector may copy the document produced;
- (c) by notice in writing, order an owner or operator to prepare the elevating device or 15 any part of it for inspection;
- (d) by notice in writing, order full disclosure, orally or in writing as the inspector specifies, of any matter respecting the design, construction, installation, 20 alteration, repair, maintenance, inspection, condition and operation of the elevating device; and
- (e) order the owner, operator, contractor or any user of the elevating device to do or refrain 25 from doing anything the inspector considers necessary during an inspection.

(2) Where an inspector inspects an elevating device under this Act the owner, operator, contractor or user 30 of the elevating device shall assist the inspector in carrying out the inspection.

Duty to comply(3) Where an inspector makes an order, the owner,with order ofoperator, contractor or user to whom the order is 35inspectordirected shall comply with the order of the inspector.

Right of entry 43.(1) For the purpose of inspecting an elevating device an inspector may, at any reasonable time and on presentation of his or her identification card, 40 enter any premises, other than a private dwelling, where the inspector has reasonable grounds to believe an elevating device is installed or used or being constructed or installed.

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Duty to assist inspector

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Entry into private dwellings with consent

Warrant

(2) An inspector may enter a private dwelling with the consent of the occupier for the purpose of inspecting an elevating device.

44.(1) An inspector shall not enter a private dwelling without consent of the occupier except under the authority of a warrant issued in accordance with this section.

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Application (2) Where, on application, a justice of the peace is satisfied by information on oath that there are reasonable grounds to believe that there is in the private dwelling named in the application an elevating device subject to inspection under this Act, the 15 justice of the peace may issue a warrant authorizing the entry by an inspector into that private dwelling for the purpose of inspecting the elevating device, subject to such conditions as may be specified in the warrant.

- Right of entry (3) An inspector may, in accordance with the authority contained in a warrant issued under subsection (2), enter the private dwelling identified in the warrant for the purpose of carrying out an 25 inspection of the elevating device.
- Obstruction 45. No person shall obstruct, delay or interfere with, give false information to, or refuse to give information required by an inspector in the exercise 30 of his or her powers or the performance of his or her duties.
- Operation during 46. Notwithstanding anything in this Act, an inspection inspector or a special inspector may operate an 35 elevating device in connection with its construction, installation, alteration, repair, maintenance or inspection.
- Liability of 47. No action or other proceeding for damages lies 40 inspector or shall be instituted against an inspector for an act or omission done by him or her in good faith in the exercise of a power or the performance of a duty under this Act or the regulations.

Right to examine person under oath 48. For the purpose of an inspection or an investigation under this Act, the Chief Inspector may, by notice in writing, require the attendance before him or her of any person at the time and place named 5 in the notice and may examine such person under oath regarding any matter pertaining to the inspection or investigation.

Notice

49.(1) Unless otherwise stated in this Act, written 10 notice of the order or decision shall be served on the person affected personally or by sending it to the address of the person by registered mail or by electronic transmission in accordance with the regulations.

Deemed receipt (2) Where a notice under this section is sent by registered mail to any person, service of the notice shall be deemed to be effected 14 days after the date of mailing.

Posting of<br/>certificate50. A certificate and any other notice required under<br/>this Act or the regulations shall be posted on, in or<br/>near the elevating device in accordance with the<br/>regulations.25

Seals, labels, 51. An inspector may affix prescribed seals, labels, marks and tags on or in elevating devices, related equipment and parts of elevating devices to indicate conformity to prescribed standards. 30

#### Offences

Offence

- 52.(1) Every person who
  - (a) contravenes this Act or the regulations,
  - (b) knowingly makes a false statement in any document required by this Act or the regulations,
  - (c) contravenes a term or condition of a 40 certificate or licence, or
  - (d) contravenes an order of an inspector,
  - (e) contravenes a decision of the Chief . Inspector,

is guilty of an offence and is liable on summary 45 conviction to a fine not exceeding \$30,000 or to imprisonment for a term not exceeding one year or to both.

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Continuing offences (2) Where this Act makes anything an offence, every day the offence continues constitutes a separate offence.

53. A prosecution for an offence under this Act may

not be commenced after two years from the time when

the subject-matter of the prosecution arose.

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Limitation period

Regulations

54.(1) The Commissioner, on the recommendation of the 10 Minister, may make regulations,

- (a) prescribing devices to be elevating devices for the purpose of this Act;
- (b) designating classes and subclasses of elevating devices; 15
- (c) respecting the issue, duration, expiry and renewal of certificates and licences, the transfer of certificates and the terms and conditions on which certificates and licences may be issued or renewed;
- (d) prescribing the time within which application for renewal of a certificate or licence may be made;
- (e) prescribing standards for elevating devices;
- (f) establishing different classes of 25 certificates and licences and prescribing the requirements of each class;
- (g) prescribing requirements as to the form and content of drawings and specifications submitted for examination and approval;
- (h) respecting the suspension and revocation of certificates and licences;
- (i) prescribing the length of time a maintenance log book is to be kept at the location of the elevating device and respecting additional 35 information to be kept in the maintenance log book;
- (j) respecting the reporting of malfunctions and accidents involving elevating devices;
- (k) respecting the use, location, construction, 40 installation, removal, alteration, repair, maintenance, service, testing, inspection and operation of elevating devices, their parts and equipment used in connection with them;
- (1) governing the conduct of persons in or about 45 elevating devices;
- (m) prescribing qualifications, training, experience and other requirements for inspectors and contractors;
- (n) prescribing additional duties of inspectors; 50
- (o) respecting duties of contractors;

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- (p) respecting the service of notices required under this Act;
- (q) prescribing the form and location of notices that are to be kept in or about elevating devices;
- (r) respecting the use of seals, labels, marks and tags to be attached to elevating devices and related equipment and parts to indicate conformity to the prescribed standards;
- (s) prescribing forms and the form of seals, 10 labels, marks and tags;
- (t) prescribing fees to be paid
  - (i) by applicants for certificates and licences or renewal of certificates and licences,

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- (ii) for inspections, and prescribing by whom the fees are to be paid,
- (iii) for copies of documents,
  - (iv) for the examination under section 13 of drawings and specifications, and 20
    - (v) for any matter in which an inspector provides a service or performs a duty under this Act; and
- (u) respecting any other matter that the Commissioner considers necessary for carrying 25 out the purposes and provisions of this Act.

(2) Where a code of rules or standards concerning the subject matter of this Act

- (a) has been promulgated by an association, 30 person or body of persons, and
- (b) is available in printed form,

the Commissioner, on the recommendation of the Minister, may by regulation adopt the code, as amended from time to time, and on adoption, the code shall be 35 in force in the Territories either in whole or in part or with such variations as may be specified in the regulations.

(3) Where a code is adopted under this section, 40 publication in the <u>Northwest Territories Gazette</u> of a notice of adoption identifying the code, stating where copies of the code can be obtained, the extent of its adoption and setting out the variations subject to which it is adopted, shall, for the purposes of the 45 <u>Statutory Instruments Act</u>, be deemed sufficient publication without publishing in the <u>Northwest Territories Gazette</u> the text of the code or part adopted.

Adoption of codes by reference

Publication of notice of adoption

Transitional 55. A certificate of elevator inspection issued under the <u>Electrical Protection Act</u> S.N.W.T. 1975(3), c.3, and in force at the time this Act comes into force continues until its expiry date as though it had been issued under this Act.

Coming into force 56. This Act or any provision of this Act comes into force on a day or days to be fixed by order of the Commissioner.

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